



Attorney Docket No. 4017-03006
U.S. Serial No. 10/607,006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Surendra N. Naidoo et al.

§

GROUP ART UNIT: 2632

Serial No. : 10/607,006

§

EXAMINER : Unknown

Filed: June 26, 2003

§

For: Security System

§

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware.

Pursuant to 37 C.F.R. § 1.98 (d), copies of any patent, publication, pending U.S. application or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached Form PTO-1449 are not provided herewith, as they have been previously submitted to, or cited by the Office, in U.S. Serial No. 09/357,196 filed July 20, 1999, the parent of the present application. The Information Disclosure Statement filed in U.S. Serial No. 09/357,196 complies with 37 C.F.R. § 1.98 (a)-(c).

In the interest of full disclosure, the Applicants also wish to call the Examiner's attention to co-pending U.S. Patent Application Serial No. 10/603,508 filed June 25, 2003 entitled "Lifestyle Multimedia Security System" and assigned to the Assignee of the present application. A copy of each patent and publication cited in connection with Serial No. 10/603,508 and listed

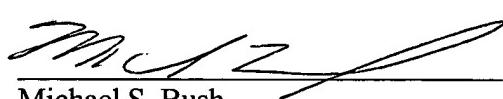
Attorney Docket No. 4017-03006
U.S. Serial No. 10/607,006

on the attached Form PTO-1449 that has not been previously provided in connection with Serial No. 09/357,196, the parent of the present application, is enclosed herewith.

The submission of this Information Disclosure Statement and the references is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

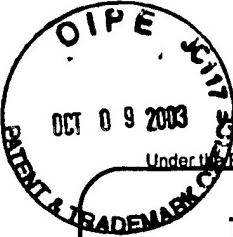
Respectfully submitted,

Date: October 6, 2003



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ATTORNEY FOR APPLICANTS



PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

	Application Number	10/607,006	
	Filing Date	06/26/2003	
	First Named Inventor	Surendra N. Naidoo	
	Art Unit	2632	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	9	Attorney Docket Number	4017-03006

ENCLOSURES (Check all that apply)			
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> PTO Form 1449	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Return Receipt Postcard	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application			
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael S. Bush, Reg. No. 31,745 Conley Rose, P.C.
Signature	
Date	October 6, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Stephanie L. Hall		
Signature		Date	10-6-03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

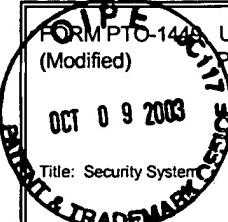
FORM PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT OCT 09 2003 TRADE Security System								ATTY.DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
								APPLICANT Surendra N. Naidoo et al.	
								FILING DATE 06/26/2003	GROUP 2632

U. S. PATENT DOCUMENTS													
Examiner Initial		Patent Number						Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)	
		5	2	8	3	8	1	6	02/01/94	Gomez Diaz	379	40	1/15/91
		5	4	0	6	2	6	0	04/11/95	Cummings, et al.	340	568	12/18/92
		5	4	1	4	8	3	3	05/09/95	Hershey, et al.	395	575	10/27/93
		5	4	5	2	3	4	4	09/19/95	Larson	379	107	11/16/93
		5	5	2	5	9	6	6	06/11/96	Parish	340	568	08/22/95
		R	E	3	5	2	6	8	06/11/96	Frolov, et al.	340	545	11/08/93
		5	5	3	4	8	4	5	07/09/96	Issa, et al.	340	425.5	09/16/92
		5	5	4	1	5	8	5	07/30/96	Duhamer, et al.	340	825.690	10/11/94
		5	5	4	3	7	7	8	08/06/96	Stouffer	340	539	04/19/93
		5	5	5	0	9	8	4	08/27/96	Gelb	395	200.17	12/07/94
		5	5	5	7	2	5	4	09/17/96	Johnson, et al.	340	426	11/16/93
		5	5	7	0	0	7	9	10/29/96	Dockery	340	541	04/24/95
		5	6	0	2	9	1	8	02/11/97	Chen, et al.	380	21	12/22/95
		5	6	0	4	4	9	3	02/18/97	Behlike	341	22	12/19/95
		5	6	0	6	6	1	5	02/25/97	Lapointe, et al.	380	25	05/16/95

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION													
			Document Number					Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
			WO	89/	1	1	1	8	7	11/16/89	PCT	Yes	No
			WO	89/	1	1	1	8	7	11/16/89	PCT		
			WO	95/	1	3	9	4	4	05/26/95	PCT		
			WO	97/	1	3	2	3	0	04/10/97	PCT		
			WO	98/	2	5	2	4	3	06/11/98	PCT		

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)											
GrayElectronics, http://www.grayelectronics.com/default.htm											
visitalk.com – communication with vision, http://www.visitalk.com											
Genex OmniEye, http://www.genextech.com/prod01.htm											

Examiner	Date Considered
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

 FORM PTO-144A U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT Title: Security System								ATTY.DOCKET NO. 4017-03006		SERIAL NO. 10/607,006	
								APPLICANT Surendra N. Naidoo et al.			
								FILING DATE 06/26/2003		GROUP 2632	

U. S. PATENT DOCUMENTS															
Examiner Initial		Patent Number							Issue Date		Patentee		Class	Sub-class	Filing Date (If Appropriate)
		5	6	2	9	6	8	7	05/13/97		Sutton, et al.		340	825.37	08/29/94
		5	6	3	1	6	3	0	05/20/97		McSweeney		340	522	09/18/95
		5	6	3	8	0	4	6	06/10/97		Malinowski		340	539	02/13/95
		5	6	5	2	5	6	7	07/29/97		Traxler		340	552	08/22/95
		5	6	7	5	3	2	1	10/07/97		McBride		340	568	11/29/95
		5	6	8	0	1	3	1	10/21/97		Utz		341	176	10/29/93
		5	6	8	2	1	3	3	10/28/97		Johnson, et al.		340	426	06/07/95
		5	6	8	6	8	8	5	11/11/97		Bergman		340	514	09/28/95
		5	6	8	9	2	3	5	11/18/97		Sugimoto, et al.		340	541	04/02/96
		5	6	9	1	6	9	7	11/25/97		Carvalho, et al.		340	544	09/22/95
		5	6	9	6	4	8	6	12/09/97		Poliquin, et al.		340	506	11/16/95
		5	7	1	2	6	7	9	01/27/98		Coles		348	158	01/16/90
		5	7	1	4	9	3	3	02/03/98		Le Van Suu		340	568	01/11/96
		5	7	1	7	3	7	8	02/10/98		Malvaso, et al.		340	506	04/01/96
		5	7	1	9	5	5	1	02/17/98		Flick		340	426	08/22/96

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
			WO	98/	5	2	3	4	3					Yes	No
			WO	98/	5	2	3	4	3	11/19/98	PCT				
			WO	98/	5	9	2	5	6	12/30/98	PCT				
					3	4	0	9	3	09/21/98	Taiwan				
			2	3	2	5	5	4	8	11/25/98	Great Britain				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner

Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE OCT 09 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>File: Security System</small>								ATTY.DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
								APPLICANT Surendra N. Naidoo et al.	
								FILING DATE 06/26/2003	GROUP 2632

U. S. PATENT DOCUMENTS													
Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
		5	7	3	6	9	2	7	04/07/98	Stebbins, et al.	340	506	06/07/95
		5	7	3	7	3	9	1	04/07/98	Dame, et al.	379	37	09/06/95
		5	7	2	6	9	1	2	03/10/98	Krall, Jr., et al.	364	550	09/06/96
		5	7	4	8	0	8	4	05/05/98	Isikoff	340	568	11/18/96
		5	7	4	8	0	8	9	05/05/98	Sizemore	340	574	08/13/96
		5	7	5	7	6	1	6	05/26/98	May, et al.	361	683	10/31/96
		5	7	6	1	2	0	6	06/02/98	Kackman	370	476	02/09/96
		5	7	7	4	0	5	1	06/30/98	Kostusiak	340	539	11/27/95
		5	7	8	4	4	6	1	07/21/98	Shaffer, et al.	380	21	05/23/96
		5	7	8	4	4	6	3	07/21/98	Chen, et al.	380	21	12/04/96
		5	7	9	3	0	2	8	08/11/98	Wagener, et al.	235	380	06/24/96
		5	7	9	3	7	6	3	08/11/98	Mayes, et al.	370	389	11/03/95
		5	8	0	5	0	5	6	09/08/98	Mueller, et al.	340	426	04/03/96
		5	8	0	5	0	6	4	09/08/98	Yorkey	340	550	12/30/96
		5	8	0	9	0	1	3	09/15/98	Kackman	370	253	02/09/96
		5	8	1	2	0	5	4	09/22/98	Cohen	340	506	05/05/95

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION													
			Document Number					Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
			2	3	2	0	6	4	4	06/24/98	Great Britain	Yes	No
			2	2	0	3	8	1	3	06/27/96	Canada		
			2	1	7	4	4	8	2	10/19/97	Canada		
			1	0	0	8	9	3	9	10/01/96	Belgium		

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)												

Examiner	Date Considered
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<p>FORM PTC-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE</p> <p>OCT 09 2003</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>Title: Security System</p> 	<p>ATTY.DOCKET NO. 4017-03006</p>	<p>SERIAL NO. 10/607,006</p>
	<p>APPLICANT Surendra N. Naidoo et al.</p>	
	<p>FILING DATE 06/26/2003</p>	<p>GROUP 2632</p>

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number							Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
			2	2	9	1	5	5	4					Yes	No
			2	2	8	6	4	2	3	08/16/95	Great Britain				
			6	3	3	9	1	8	3	12/06/94	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

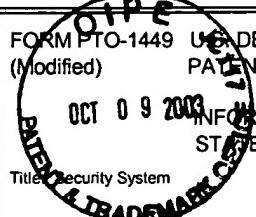
Examiner

Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<p>FOR O P T O - 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE</p> <p>OCT 09 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>Patent Security System</p> <p>TRADEMARK C</p>								ATTY.DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
								APPLICANT Surendra N. Naidoo et al.	
								FILING DATE 06/26/2003	GROUP 2632

U. S. PATENT DOCUMENTS														
Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)	
		4	5	9	1	8	3	4	05/27/86	Kyle	340	566	11/25/83	
		4	9	1	8	7	1	7	04/17/90	Bissonnette, et al.	379	40	08/23/88	
		5	1	6	4	7	0	3	11/17/92	Rickman	340	515	05/02/91	
		5	1	6	4	9	7	9	11/17/92	Choi	379	40	11/21/90	
		5	4	0	0	0	1	1	03/21/95	Sutton	340	566	01/18/94	
		5	4	1	4	4	0	9	05/09/95	Voosen, et al.	340	541	07/23/92	
		5	4	4	8	2	9	0	09/05/95	VanZeeland	348	153	08/23/91	
		5	5	1	0	9	7	5	04/23/96	Ziegler, Jr.	364	148	07/01/94	
		5	5	2	6	4	2	8	06/11/96	Arnold	380	25	12/29/93	
		5	5	7	2	4	3	8	11/05/96	Ehlers, et al.	364	492	01/05/95	
		5	6	2	1	6	6	2	04/15/97	Humphries, et al.	364	550	02/15/94	
		5	6	8	9	7	0	8	11/18/97	Regnier, et al.	395	682	03/31/95	
		5	6	9	4	5	9	5	12/02/97	Jacobs, et al.	395	609	08/14/95	
		5	6	9	6	8	9	8	12/09/97	Baker, et al.	395	187.01	06/06/95	
		5	7	0	6	1	9	1	01/06/98	Bassett, et al.	364	138	05/23/97	
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION														
			Document Number						Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
			WO	02/	1	1	4	4					4	Yes
			WO	02/	1	1	4	4	4	02/07/02	PCT			
			WO	01	7	1	4	8	9	9/27/2001	PCT			
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)														
Examiner				Date Considered										
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.														

 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>Title Security System</small>								ATTY.DOCKET NO. 4017-03006	SERIAL NO. 10/607,006
								APPLICANT Surendra N. Naidoo et al.	
								FILING DATE 06/26/2003	GROUP 2632

U. S. PATENT DOCUMENTS													
Examiner Initial		Patent Number							Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
		6	3	9	2	5	3	8	05/21/02	Shere	340	539	11/13/96
		5	9	3	3	0	9	8	08/03/99	Haxton	340	945	03/21/97
		5	8	8	0	7	7	5	03/09/99	Ross	348	143	01/24/97
		6	1	2	4	8	8	2	09/26/00	Voois, et al.	348	15	01/09/98
		4	9	6	2	4	7	3	10/09/90	Crain	364	900	12/09/88
		6	1	5	4	1	3	3	11/28/00	Ross, et al.	340	541	01/21/99
		5	6	2	5	4	1	0	04/29/97	Washino, et al.	348	154	04/07/95
		6	0	9	7	4	2	9	08/01/00	Seeley, et al.	348	154	08/01/97
		6	1	0	8	0	3	4	08/22/00	Kim	348	154	04/23/98
		6	2	2	6	0	3	1	05/01/01	Barraclough, et al.	348	14.13	10/22/98
		5	5	4	6	4	4	7	08/1996	Sakarbo et al.	379	142.01	
		5	2	8	0	5	2	7	01/1994	Gullaman et al.	380	23	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION													
			Document Number					Publication Date	Country or Patent Office		Class	Sub-Class	Translation
			02	00	10	69	20	9	A	Yes	No		
			02	00	10	69	20	9	A	03/2001	Japan		
			40	51	67	71	2	A		07/1993	Japan		
			41	00	04	45	1	A		01/1998	Japan		
			02	00	00	23	14	6	A	01/2000	Japan		
			02	00	01	00	63	43	A	01/2001	Japan		

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)												
Examiner	Date Considered											
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.												

<p>FORM PTO-149 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE</p> <p><i>OCT 9 2003</i></p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>Title: Security System</p>	<p>ATTY.DOCKET NO. 4017-03006</p>	<p>SERIAL NO. 10/607,006</p>
<p>APPLICANT Surendra N. Naidoo et al.</p>		
<p>FILING DATE 06/26/2003</p>		<p>GROUP 2632</p>

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION															
			Document Number						Publication Date	Country or Patent Office	Class	Sub-Class	Translation		
			2	3	2	5	5	4					8A	Yes	No
			2	3	2	5	5	4	8A	11/1998	UK				
			3	6	3	0	3	30	88A	2/1988	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner

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